FINANCIAL PLANNING SNAPSHOT

A high-level worksheet to organize your financial accounts, income sources, major goals, and the decisions currently competing for your attention.

Please allow 10-15 minutes to complete this worksheet and provide us with a high-level view of your household before our discovery meeting. Estimates are fine. Please do not include Social Security numbers or full account numbers.

About Your Household

Primary Client

FIRST NAME | LAST NAME | DATE OF BIRTH

OCCUPATION / EMPLOYER

EMAIL | PHONE

ARE YOU RETIRED? ☐ Yes ☐ No

Spouse / Partner (if applicable)

FIRST NAME | LAST NAME | DATE OF BIRTH

OCCUPATION / EMPLOYER

EMAIL | PHONE

ARE YOU RETIRED? ☐ Yes ☐ No

HOUSEHOLD STREET ADDRESS

CITY | STATE | ZIP CODE | COUNTRY

DO YOU CURRENTLY WORK WITH A FINANCIAL ADVISOR?

☐ Yes ☐ No

REFERRED BY

What Prompted You to Schedule This Meeting?

Why now? What financial decision, concern, opportunity, or upcoming change made this feel like the right time to talk?

Goals & Timing

Check each time horizon that fits. A goal may appear in more than one period. This is a starting point for conversation - not a commitment to a specific course of action.

	IMMEDIATE PRIORITY Address within 12 months	NEAR-TERM PRIORITY 1 to 5 years	LONG-TERM PRIORITY 5 to 10+ years
Reduce or eliminate debt	☐	☐	☐
Build cash reserves	☐	☐	☐
Make a major purchase	☐	☐	☐
Invest more	☐	☐	☐
Prepare for retirement	☐	☐	☐
Navigate a career or retirement transition	☐	☐	☐
Reduce taxes	☐	☐	☐
Review insurance or financial risks	☐	☐	☐
Update estate planning	☐	☐	☐
Address a business or practice decision	☐	☐	☐
Fund education	☐	☐	☐
Build or preserve family wealth	☐	☐	☐

OTHER / ADDITIONAL GOALS OR PRIORITIES

Income Sources & Financial Accounts

Select the categories that are part of your household today. Exact balances and account numbers are not needed here.

Income Sources

- ☐ Salary / W-2 compensation
- ☐ Bonus / incentive compensation
- ☐ 1099 / contract income
- ☐ Business or practice income
- ☐ Pension
- ☐ Social Security
- ☐ Rental income
- ☐ Investment income / distributions

OTHER INCOME SOURCES

Financial Accounts

- ☐ Checking, savings, money market, or CDs
- ☐ Retirement plan (401(k), 403(b), 457, SEP, SIMPLE)
- ☐ Traditional or rollover IRA
- ☐ Roth IRA
- ☐ Taxable brokerage / investment account
- ☐ Health Savings Account (HSA)
- ☐ 529 / education account
- ☐ Annuity
- ☐ Private investments / alternatives
- ☐ Business ownership interest

OTHER ACCOUNT TYPES

Your Financial Snapshot

Provide a high-level estimate of your household today. Estimates are fine.

APPROXIMATE ANNUAL HOUSEHOLD EARNED INCOME

APPROXIMATE ANNUAL BONUS, INCENTIVE & OTHER INCOME

Household Investments

Include retirement accounts

- ☐ Up to $500,000
- ☐ $500,000 to $1,000,000
- ☐ $1,000,000 to $5,000,000
- ☐ $5,000,000 to $20,000,000
- ☐ $20,000,000 to $100,000,000
- ☐ More than $100,000,000

Approximate Net Worth

Net worth = assets - liabilities

- ☐ Up to $500,000
- ☐ $500,000 to $1,000,000
- ☐ $1,000,000 to $5,000,000
- ☐ $5,000,000 to $20,000,000
- ☐ $20,000,000 to $100,000,000
- ☐ More than $100,000,000

Home & Other Property

Do you own or rent your primary residence? ☐ Own ☐ Rent

Do you own property other than your primary residence? ☐ Yes ☐ No

If yes, select all that apply:

☐ Rental property

☐ Second home / vacation property

☐ Commercial or business property (office, medical facility, etc.)

☐ Undeveloped land

OTHER REAL ESTATE

Family Members

Enter names only when applicable. Leave unused fields blank.

Financially Dependent Children

NAME 1

NAME 2

NAME 3

Other Financially Dependent Family Members

NAME 1

NAME 2

NAME 3

Estate Planning & Accountant

Do you have a will? ☐ Yes ☐ No **DATE LAST UPDATED**

Do you have a trust? ☐ Yes ☐ No **DATE LAST UPDATED**

Do you have an accountant? ☐ Yes ☐ No

ACCOUNTANT'S NAME

Anything Else We Should Know?

Use this space for anything else you think could impact your financial plan.

We will review your responses before the discovery meeting so we can focus our time on the priorities, questions, and decisions most relevant to your household.

When complete:

Save this form and securely upload it to your Planning Vault or return it using the secure method provided by Ruiz Financial Group. Please do not email sensitive personal or financial information. Do not include Social Security numbers or full account numbers.